

#26 ST Copy 9-11-03

PTO/SO/30 (05-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**REQUEST
FOR
CONTINUED EXAMINATION (RCE)
TRANSMITTAL**Address to: RCE
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Alexandria, VA 22313-1450

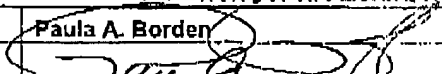
Application Number	09/739,933
Filing Date	December 18, 2000
First Named Inventor	REID, JAMES STEVEN
Art Unit	1647
Examiner Name	TURNER, SHARON L.
Attorney Docket Number	UCAL-263CIP

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission** required under 37 C.F.R. § 1.114
 - a. ☒ Previously submitted
 - i. ☒ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on July 22, 2003
(Any unentered amendment(s) referred to above will be entered.)
 - ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on _____
 - iii. ☐ Other _____
 - b. ☐ Enclosed
 - i. ☐ Amendment/Reply
 - ii. ☐ Affidavit(s)/Declaration(s)
 - iii. ☐ Information Disclosure Statement (IDS)
 - iv. ☐ Other _____
2. **Miscellaneous**
 - a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. § 1.17(i) required)
 - b. ☐ Other _____
3. **Fees** The RCE fee under 37 C.F.R. § 1.17 (e) is required by 37 C.F.R. § 1.114 when RCE is filed.
 - a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 50-0815
 - i. ☒ RCE fee required under 37 C.F.R. § 1.17 (e)
 - ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)
 - iii. ☒ Other Fee Transmittal + Duplicate (2 prs.)
 - b. ☐ Check in the amount of \$ _____ enclosed
 - c. ☐ Payment by credit card (Form PTO-2038 enclosed)

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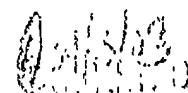
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Paula A. Borden	Registration No. (Attorney/Agent)	42,344
Signature		Date	September 15, 2003

EXPRESS MAIL LABEL NO. EV333999115US

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 127 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


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PTO/SN/17 (05-03)

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FEE TRANSMITTAL for FY 2003				Complete if Known																																																																																																																																																																																						
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<input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 50-0815 Deposit Account Name Bazlovic, Field & Francis LLP The Commissioner authorized to: (check all that apply) <input checked="" type="checkbox"/> Charge fees indicated below <input checked="" type="checkbox"/> Credit any overpayments <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application <input type="checkbox"/> Charge fees indicated below, except for the filing fee to the above-identified deposit account.				3. ADDITIONAL FEES <table border="1" style="width: 100%; border-collapse: collapse; font-size: 0.8em;"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>131</td><td>2051</td><td>65</td><td>Surcharge - late filing fee or both</td><td></td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>1804</td><td>920</td><td>1804</td><td>920</td><td>Requesting publication of Sift prior to Examination action</td><td></td></tr> <tr><td>1805</td><td>1,840</td><td>1805</td><td>1,840</td><td>Requesting publication of SIF after Examiner action</td><td></td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>1252</td><td>410</td><td>2252</td><td>205</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>1253</td><td>930</td><td>2253</td><td>465</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>1254</td><td>1,450</td><td>2254</td><td>725</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>1255</td><td>1,970</td><td>2255</td><td>985</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>1401</td><td>320</td><td>2101</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr><td>1402</td><td>320</td><td>2102</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>1403</td><td>280</td><td>2103</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr><td>1451</td><td>1,510</td><td>1151</td><td>1,510</td><td>Petition to include a public use proceeding</td><td></td></tr> <tr><td>1452</td><td>110</td><td>2152</td><td>55</td><td>Petition to revive - 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SUBMITTED BY Name (Print/Type) Paula A. Borden Signature  Registration No. (Attorney/Agent) 42,344 Telephone (650) 327-3400 Date 09/15/2003				Reduction by Basic Filing Fee Paid SUBTOTAL (3) (\$)375.00																																																																																																																																																																																						

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Atty/Sec: PAB/CKH

Atty Docket No. UCA1-263C1P

Date Mailed: *September 15, 2003*
Filing Date: December 18, 2000

Application No.: 09/739,933

Inventor(s): REID, JAMES STEVEN

Title: "COMPOSITIONS AND METHODS FOR
MANIPULATING GLIAL PROGENITOR CELLS AND
TREATING NEUROLOGICAL DEFICITS"

Enclosure(s):

- ❖ RCE Transmittal (1 pg.)
- ❖ Fee Transmittal + Duplicate (2 pgs.)
- ❖ Return Postcard

EXPRESS MAIL LABEL NO. EV3339991151/S

Acknowledge Receipt of enclosure
By imprinting PTO Date Stamp and
Returning to addressee

Atty/Sec: PAD/CKI
Atty Docket No. UCAI-265CB Date Mailed August 27, 2003
Application No.: 09/739,933 Filing Date: December 18, 2000

Inventor(s): REID, JAMES STEVEN

Title: "COMPOSITIONS AND METHODS FOR MANIPULATING GLIAL
PROGENITOR CELLS AND TREATING NEUROLOGICAL DISEASES"

Enclosure(s):

- ❖ Transmittal (1 pg.)
- ❖ Information Disclosure Statement (1 pg.)
- ❖ PTO/SB/08A (1 pg.)
- ❖ EP Search Report
- ❖ 4 Cited References
- ❖ Return Postcard

EXPRESS MAIL LABEL NO EV333999319US

Acknowledge Receipt of enclosure:
By imprinting PTO Date Stamp and
Returning to addressee

FACSIMILE TRANSMITTAL

BOZICEVIC, FIELD & FRANCIS LLP

200 Middlefield Road, Suite 200

Menlo Park, CA 94025

Telephone: (650) 327-3400

Facsimile Number: (650) 327-3231

Date: November 20, 2003

To: **ATTN: EXAMINER S. TURNER**

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Re: U.S. Patent Application No. 09/739,933

Message: Please see attached documents:
1) SB/08A of August 27, 2003
2) RCE of September 15, 2003

Total number of pages, including this cover sheet: 8

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